FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
980049.410C1APPLICATION NO.
10/717,049SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)

APPLICANTS

Richard Martin et al.

FILING DATE

November 18, 2003

GROUP ART UNIT

1626

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
mu	AA	6,541,486	04/01/03	Bitler et al.	514	303	
	AB	6,548,505	04/15/03	Martin et al.	514	252.13	
	AC	6,559,168	05/06/03	Marfat et al.	514	338	
	AD	6,569,874	05/27/03	Pruitt et al.	514	342	
✓	AE	6,586,453	07/01/03	Dhanoa et al.	514	365	
	AF						
	AG						
	AH						
	AI						
	AJ						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AK					
	AL					
	AM					
	AN					
	AO					

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AP	Abdel-Megid, M. et al., "A facile synthesis of p-Bis(4-thiazolidinon-3-yl)phenylenes and related systems," <i>Heterocyclic Communications</i> 8(2): 161-168, 2002.
	AQ	
	AR	

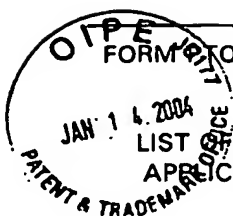
EXAMINER

D. Lambkin

DATE CONSIDERED

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ATTY. DOCKET NO.
38205-3001B

SERIAL NO.
10/717,049

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Unassigned.

APPLICANT
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	*Ref. Code	DOCUMENT NUMBER							DATE	NAME	CLASS	SUB CLASS	FILING DATE
<i>De</i>	A	R	E	2	8	8	1	9	05/18/76	Thompson	424	243	12/08/72
	B	0	1	2	0	1	3	7	08/29/02	Houze <i>et al.</i>	540	589	08/31/01
	C	0	1	3	2	2	2	3	09/19/02	Forman <i>et al.</i>	435	4	10/05/01
**	D	0	1	8	1	4	2	0	09/25/03	Bayne <i>et al.</i>	514	63	12/20/02
**	E	0	2	2	8	6	0	7	12/11/03	Wagner <i>et al.</i>	435	6	04/14/03
	F	2	3	8	8	9	6	3	01/21/38	Fre <i>et al.</i>	260	240	01/22/37
	G	2	4	5	4	6	2	9	11/23/48	Brooker	260	240	01/27/40
	H	3	6	2	7	5	3	4	12/14/71	Shiba <i>et al.</i>	96	135	02/21/68
	I	3	6	3	5	9	6	4	01/18/72	Skorcz <i>et al.</i>	260	247.1	02/10/69
	J	3	7	1	0	7	9	5	01/16/73	Higuchi <i>et al.</i>	128	260	09/29/70
	K	4	0	4	4	1	2	6	08/23/77	Cook <i>et al.</i>	424	243	07/09/76
	L	4	0	9	3	7	3	0	06/06/78	Butti <i>et al.</i>	424	270	06/28/76
	M	4	2	3	1	9	3	8	11/04/80	Monaghan <i>et al.</i>	260	343.5	06/15/79
	N	4	2	5	8	1	8	5	03/24/81	Nakao <i>et al.</i>	544	114	04/14/80
	O	4	3	2	8	2	4	5	05/04/82	Yu <i>et al.</i>	424	305	02/13/81
	P	4	3	4	6	2	2	7	08/24/82	Terahara <i>et al.</i>	560	119	06/05/81
	Q	4	3	5	8	6	0	3	11/09/82	Yu	560	2	04/16/81
	R	4	3	6	4	9	2	3	12/21/82	Cook <i>et al.</i>	424	46	04/30/81
✓	S	4	4	0	9	2	3	9	10/11/83	Yu	424	305	01/21/82

EXAMINER

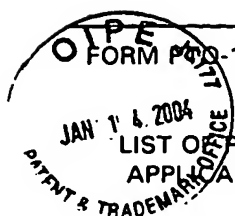
D. London

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Title: HETEROCYCLIC MODULATORS OF NUCLEAR RECEPTORS



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plc	T	4	4	1	0	5	4	5		10/18/83	Yu <i>et al.</i>	424	305	05/10/82
	U	4	4	1	4	2	0	9		11/08/83	Cook <i>et al.</i>	424	243	06/13/77
	V	4	4	4	4	7	8	4		04/24/84	Hoffman <i>et al.</i>	424	279	12/18/80
	W	4	5	2	2	8	1	1		06/11/85	Eppstein <i>et al.</i>	514	2	07/08/82
	X	4	9	1	6	1	2	8		04/10/90	Jonas <i>et al.</i>	514	213	06/06/88
	Y	4	9	3	3	3	3	6		06/12/90	Martin <i>et al.</i>	514	222.5	08/09/88
	Z	5	0	3	3	2	5	2		07/23/91	Carter	53	425	07/30/90
	AA	5	0	5	2	5	5	8		10/01/91	Carter	206	439	07/27/90
	AB	5	0	7	0	0	1	2		12/03/91	Nolan <i>et al.</i>	435	6	03/30/88
	AC	5	0	7	1	7	7	3		12/10/91	Evans <i>et al.</i>	436	501	10/20/87
	AD	5	1	7	1	8	5	1		12/15/92	Kim <i>et al.</i>	544	50	03/25/91
	AE	5	1	7	7	0	8	0		01/05/93	Angerbauer <i>et al.</i>	514	277	11/26/91
	AF	5	2	2	1	6	2	3		06/22/93	Lagocki <i>et al.</i>	435	252.3	07/19/89
	AG	5	2	7	3	9	9	5		12/28/93	Roth	514	422	02/26/91
	AH	5	2	8	3	1	7	3		02/01/94	Fields <i>et al.</i>	435	6	01/24/90
	AI	5	2	9	8	4	2	9		03/29/94	Evans <i>et al.</i>	436	501	12/10/91
	AJ	5	3	2	3	9	0	7		06/28/94	Kalvelage	206	531	03/15/93
	AK	5	3	5	4	7	7	2		10/11/94	Kathawala	514	414	11/24/93
✓	AL	5	4	1	4	0	8	8		05/09/95	Von Der Saal <i>et al.</i>	546	158	09/04/90

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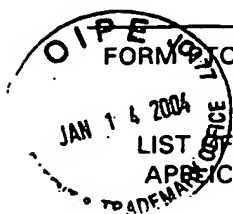
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<i>DL</i>	AM	5	4	6	8	6	1	4	11/21/95	Fields <i>et al.</i>	435	6	02/01/94
	AN	5	4	7	6	9	4	5	12/19/95	Ikegawa <i>et al.</i>	548	152	10/19/93
	AO	5	6	1	8	8	3	1	04/08/97	Shishido <i>et al.</i>	514	366	05/16/94
	AP	5	6	5	0	2	8	9	07/22/97	Wood	435	8	01/31/94
	AQ	5	6	6	7	9	7	3	10/07/97	McElroy <i>et al.</i>	514	366	06/07/95
	AR	5	6	7	0	5	3	0	09/23/97	Chen <i>et al.</i>	514	366	06/07/95
	AS	5	6	7	4	7	1	3	10/07/97	McElroy <i>et al.</i>	435	69.7	06/02/95
	AT	5	6	8	3	8	8	8	11/04/97	Campbell	435	8	07/05/94
	AU	5	7	0	7	7	9	4	01/13/98	Fabricius	430	572	11/22/96
	AV	5	7	4	1	6	5	7	04/21/98	Tien <i>et al.</i>	435	18	03/20/95
	AW	5	7	5	7	6	6	1	05/26/98	Survile	364	506	07/01/94
	AX	5	8	4	3	7	4	6	12/01/98	Tatsumi <i>et al.</i>	435	189	01/13/97
	AY	5	9	5	5	6	0	4	09/21/99	Tsien <i>et al.</i>	540	222	10/21/97
	AZ	6	0	7	1	9	5	5	06/06/00	Elias <i>et al.</i>	514	475	02/25/99
	BA	6	1	8	4	2	1	5	02/06/01	Elias <i>et al.</i>	514	182	08/24/99
	BB	6	1	8	7	8	1	4	02/13/01	Elias <i>et al.</i>	514	531	10/29/99
	BC	6	2	9	1	6	7	6	09/18/01	Burke <i>et al.</i>	546	48	03/02/00
	BD	6	3	1	6	5	1	0	11/13/01	Sperber	521	94	04/05/00
<i>✓</i>	BE	6	4	1	6	9	5	7	07/09/02	Evans <i>et al.</i>	435	7.1	10/24/00

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<i>[Signature]</i>	BG	6	4	5	8	7	8	9	10/01/02	Forood <i>et al.</i>	514	235.5	09/29/99
<i>[Signature]</i>	BH	6	5	2	1	6	6	6	02/18/03	Sircar <i>et al.</i>	514	576	07/19/00

FOREIGN PATENT DOCUMENTS

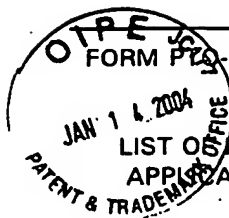
EXAMINER INITIAL	*Ref. Code	DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB CLASS	Translation Yes No	
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	BJ	0	0	2	5	1	3	4	05/04/00	PCT				
	BK	0	0	3	7	0	7	7	06/29/00	PCT				
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	BT	0	1	2	3	8	8	7	04/05/01	PCT				

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	CH	0	9	8	5	6	8	3	09/09/99	EP				
	CI	1	4	4	9	8	0	0	07/02/64	FR				+
	CJ	1	9	0	8	5	7	0	02/20/69	DE				X
	CK	2	1	1	7	3	3	7	03/12/71	FR				+
	CL	5	2	7	3	5	6		02/05/93	JP				+
	CM	53	1	2	9	6	3	3	11/11/78	JP				+

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	CN	6	2	2	0	0	5	3	08/09/94	JP				X
	CO	6	2	3	4	6	3	9	08/23/94	JP				+
	CP	6	2	9	3	6	4	2	10/21/94	JP				X
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	CU	9	7	0	7	1	0	1	02/27/97	PCT				
	CV	9	8	3	2	4	4	4	07/30/98	PCT				
	CW	9	9	2	7	3	6	5	06/03/99	PCT				

+ = An English Derwent Abstract or STN Chem Abstract is provided.

X = An English language equivalent is provided.

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	CX	"Hypolipidemics, HMG-CoA Reductase Inhibitors," <i>Physicians' Desk Reference (PDR)</i> , 50th Ed, (Medical Economics Co), pp. 216 (1996)
	CY	Alberti <i>et al.</i> , "Structural characterisation of the mouse nuclear oxysterol receptor genes LXR α and LXR β ", <i>Gene</i> , 243:93-103 (2000)
	CZ	Ansel, H.C., (Eds.), in <i>Introduction to Pharmaceutical Dosage Forms Fourth Edition</i> , Philadelphia: Lea & Febiger, pp.125 (1985)

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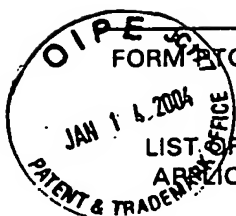
DA	Augustin <i>et al.</i> , "Umsetzung des Thiazolo [3,2-a] benzimidazol-3-ons mit Elektrophilen [Reactions of thiazolo [3,2-a]benzimidazol-3-one with electrophiles]", <i>Zeitschrift fur Chemie</i> , <u>29(6)</u> :206-207 (1989)
DB	Barrett-Connor, "Epidemiology, Obesity, and Non-Insulin-Dependent Diabetes Mellitus", <i>Epidemiologic Reviews</i> , <u>11</u> :172-181 (1989)
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DD	Berger <i>et al.</i> , "Secreted placental alkaline phosphatase: a powerful new quantitative indicator of gene expression in eukaryotic cells", <i>Gene</i> , <u>66</u> :1-10 (1988)
DE	Bronstein <i>et al.</i> , "1,2-Dioxetanes: Novel Chemiluminescent Enzyme Substrates. Applications to Immunoassays", <i>Journal of Bioluminescence and Chemiluminescence</i> , <u>4</u> :99-111 (1989)
DF	Carceller <i>et al.</i> , "Design, Synthesis, and Structure-Activity Relationship Studies of Novel 1-[(1-Acyl-4-piperidyl)methyl]-1H-2-methylimidazo[4,5-c] pyridine Derivatives as Potent, Orally Active Platelet-Activating Factor Antagonists", <i>J. Med. Chem.</i> , <u>39</u> :487-493 (1996)
DG	Chiang <i>et al.</i> , "Farnesoid X Receptor Responds to Bile Acids and Represses Cholesterol 7 α -Hydroxylase Gene (CYP7A1) Transcription", <i>Journal of Biological Chemistry</i> , <u>275(15)</u> :10918-10924 (2000)
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DI	Chiba <i>et al.</i> , "Distinct Retinoid X Receptor-Retinoic Acid Receptor Heterodimers Are Differentially Involved in the Control of Expression of Retinoid Target Genes in F9 Embryonal Carcinoma Cells", <i>Molecular and Cellular Biology</i> , <u>17(6)</u> :3013-3020 (1997)
DJ	Coniff, R. and A. Krol, "Acarbose: A Review of US Clinical Experience", <i>Clinical Therapeutics</i> , <u>19(1)</u> :16-26 (1997)

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38205-3001B

SERIAL NO.
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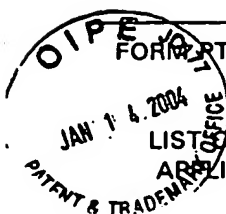
DK	Coniff <i>et al.</i> , "Multicenter, Placebo-Controlled Trial Comparing Acarbose (BAY g 5421) With Placebo, Tolbutamide, and Tolbutamide-Plus-Acarbose in Non-Insulin-Dependent Diabetes Mellitus", <i>American Journal of Medicine</i> , <u>98</u> :443-451 (1995)
DL	Dains <i>et al.</i> , "The Reactions of the Formamidines. VIII. Some Thiazolidone Derivatives", <i>J. Am. Chem. Soc.</i> , <u>43</u> :613-618 (1921)
DM	Davis, J.A. and F.B. Dains, "Some Alkyl Derivatives of Certain Aryl Substituted Thiazolidones", <i>J. Am. Chem. Soc.</i> , <u>57</u> :2627-2630 (1935)
DN	Derwent WPI Acc. No. 13863260 citing Japanese Patent 2001-13617, "Silver halide emulsion, silver halide photosensitive material and thermally developable photosensitive material".
DO	Derwent WPI Acc. No. 9387756 citing Japanese Patent 5-27356, "Silver halide photographic material - contains silver halide particles spectrally sensitised with novel merocyanine dye".
DP	Derwent# 000911469, WPI Acc. No. 1972-71638T/197245 (citing French Patent Number 2117337), "Merocyanine dye sensitisers - contg basic and acidic gps for silver halide emulsions".
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DR	Derwent# 002077750, WPI Acc. No. 1978-908270A/197850 (citing Japanese Patent Number 53-129633), "Antistatic silver halide photographic material - contg. oxazolidine deriv. as UV absorber".
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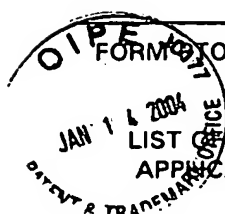
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	DV	Evans, R.M., "The Steroid and Thyroid Hormone Receptor Superfamily", <i>Science</i> , 240:889-895 (1988)
	DW	Fedotov, K.V. and N.N. Romanov "Mesoionic Compounds with a Bridged Nitrogen Atom. 18. Cyclization of (2-Quinazolinylthio) Acetic Acids", <i>Khim Geterotsilcl. Soedin.</i> (6):678-83 (1989) English language edition, [Translated from Russian into English from <i>Khimiya Geterotsiklicheskih Soedinenii</i> , 6:817-822 (1989)]
	DX	Fedotov, K.V., "[Polymethine dyes with 3-oxo-2, 3-dihydrothiazole [3,2-a] pyrimidium nucleus]," in <i>Ukr. Khim Zh. (Russian Edition)</i> , 52(5):514-519 (1986)
	DY	Fedotov <i>et al.</i> , "[Mesoionic compounds with a nitrogen bridging atom 12. Study of the cyclization of (2-pyrimidinylthio) acids]," in <i>Khim. Geterotsilcl. Soedin.</i> , 7:969-73 (1984)
	DZ	Flier, J.S., "Insulin Receptors and Insulin Resistance", <i>Ann. Rev. Med.</i> , 34:145-160 (1983)
	EA	Forman <i>et al.</i> , "Identification of a Nuclear Receptor That is Activated by Farnesol Metabolites", <i>Cell</i> , 81:687-693 (1995)
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	EC	Garcia <i>et al.</i> , "Morbidity and Mortality in Diabetics in the Framingham Population", <i>Diabetes</i> , 23:105-111 (1974)
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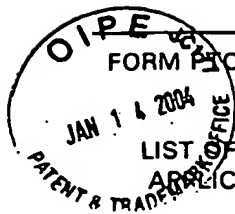
<i>m</i>	EF	Gorman <i>et al.</i> , "Recombinant Genomes Which Express Chloramphenicol Acetyltransferase in Mammalian Cells", <i>Molecular and Cellular Biology</i> , <u>2(9)</u> :1044-1051 (1982)
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	EH	Haffner, S.M., "Management of Dyslipidemia in Adults with Diabetes", <i>Diabetes Care</i> , <u>21(1)</u> :160-178 (1998)
	EI	Heyman <i>et al.</i> , "9-Cis Retinoic Acid is a High Affinity Ligand for the Retinoid X Receptor", <i>Cell</i> , <u>68</u> :397-406 (1992)
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	EK	Humphlett, W.J., and R.W. Lamon, "4-Thiazoline-2-thiones. I. The Structure of Intermediate 4-Hydroxythiazolidine-2-thiones", <i>J. Org. Chem.</i> , <u>29</u> :2146-2148 (1964)
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	EP	Kain, S.R., "Use fo Secreted Alkaline Phosphatase as a Reporter of Gene Expression in Mammalian Cells, <i>Methods in Molecular Biology</i> , <u>63</u> :49-60 (1997)
	EQ	Kaplan, <i>et al.</i> (Eds.), "Cardiovascular Diseases", in <i>Health and Human Behavior</i> , New York: McGraw-Hill, Inc. pp. 206-242 (1993)

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EU	Knowler <i>et al.</i> , "Obesity in the Pima Indians: its magnitude and relationship with diabetes", <i>Am. J. Clin. Nutr.</i> , <u>53</u> :1543S-1551S (1991)
EV	Kwiterovich, Jr., P.O. "State-of-the-art Update and Review: Clinical Trials of Lipid-Lowering Agents", <i>Am. J. Cardiol.</i> , <u>82(12A)</u> :3U-17U (1998)
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FB	Mangelsdorf <i>et al.</i> , "The RXR Heterodimers and Orphan Receptors", <i>Cell</i> , <u>83</u> :841-850 (1995)

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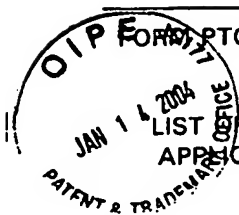
	FC	Mangelsdorf <i>et al.</i> , "Characterization of three RXR genes that mediate the action of 9- <i>cis</i> retinoic acid", <i>Genes and Development</i> , <u>6</u> :329-344 (1992)
	FD	Mehta, M. R. and J.P. Trivedi, "Synthesis of 2,3-disubstituted-4-thiazolidinones and 3,5-diaminothiophene-2-carboxylic acid derivatives", <i>Indian Journal of Chemistry</i> , <u>29B</u> :1146-1153 (1990)
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	FL	Reaven, G.M., "Pathophysiology of Insulin Resistance in Human Disease", <i>Physiological Reviews</i> , <u>75</u> :473-486 (1995)
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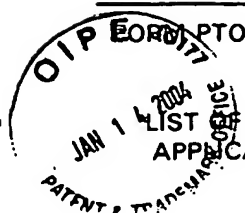
FO	Seol <i>et al.</i> , "Isolation of Proteins That Interact Specifically with the Retinoid X Receptor: Two Novel Orphan Receptors", <i>Molecular Endocrinology</i> , <u>9</u> :72-85 (1995)
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FT	STN (Chem. Abstracts) Document No. 66:105907, Chem. Abstract of French patent application FR1449800, "Sensitizing dyes", published 07/02/64.
FU	STN (Chem. Abstracts) Document No. 101:191838, Chem. Abstract of Russian language article by Fedotov <i>et al.</i> , "Mesoionic compounds with a nitrogen bridging atom. 12. Study of the cyclization of (2-pyrimidinylthio) acetic acids", <i>Khimiya Geterotsiklicheskih Soedinenii</i> , <u>7</u> :969-73 (1984)
FV	STN (Chem. Abstracts) Document No. 112:20939, Chem. Abstract of German language article by Augustin <i>et al.</i> , "Reactions of thiazolo [3,2-a] benzimidazol-3-one with electrophiles", <i>Zeitschrift fuer Chemie</i> , <u>29</u> (6):206-7 (1989)
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Title: HETEROCYCLIC MODULATORS OF NUCLEAR RECEPTORS



PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.
38205-3001B

SERIAL NO.
10/717,049

CONFIRM NO.
Unassigned.

APPLICANT
Martin *et al.*

CUSTOMER NO.
24961

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Unassigned.

* If an asterisk is placed beside the reference number, a copy is provided because the reference was previously cited by or submitted to the PTO in a prior application that is identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120. 37 C.F.R. § 1.98(d).

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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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